

Synovus Securities, Inc. - Held NMS Stocks and Options Order Routing Public Report

Generated on Thu Apr 30 2020 01:17:42 GMT-4 EDT

1st quarter, 2020

January 2020

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100	98.34	0.23	1.03	0.4

Venues

Venue - Non-directed Order Flow	Non-Directed Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)	46.23	46.86	29.63	8.49	37.50	0	0	0	0	0	0	0
VIRTU Americas LLC(NITE)	25.21	25.45	7.41	5.66	54.17	0	0	0	0	0	0	0
National Financial Services LLC(XSTM)	16.83	17.03	40.74	0.00	0.00	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	7.09	7.22	3.70	0.00	0.00	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	1.89	1.91	0.00	0.00	8.33	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	1.28	1.31	0.00	0.00	0.00	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC(NSDQ)	0.54	0.00	0.00	38.68	0.00	0	0	0	0	0	0	0
Cboe EDGX U.S. Equities Exchange(EDGX)	0.33	0.00	0.00	23.58	0.00	0	0	0	0	0	0	0
New York Stock Exchange(NYSE)	0.29	0.00	3.70	19.81	0.00	0	0	0	0	0	0	0
State Street Global Markets, LLC(SSBS)	0.17	0.12	14.81	0.00	0.00	0	0	0	0	0	0	0

Material Aspects:

January 2020

Non S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100	97.71	0.44	1.19	0.65

Venues

Venue - Non-directed Order Flow	Non-Directed Orders(%)	Market Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)	41.62	42.14	31.67	7.86	45.65	0	0	0	0	0	0	0	0
National Financial Services LLC(XSTM)	25.23	25.64	36.67	0.00	0.00	0	0	0	0	0	0	0	0
VIRTU Americas LLC(NITE)	22.14	22.27	11.67	8.57	47.83	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	6.44	6.56	5.00	1.43	0.00	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	1.49	1.51	0.00	0.71	2.17	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	1.44	1.46	0.00	0.00	4.35	0	0	0	0	0	0	0	0
Cboe EDGX U.S. Equities Exchange(EDGX)	0.45	0.00	0.00	32.14	0.00	0	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC(NSDQ)	0.36	0.00	1.67	25.00	0.00	0	0	0	0	0	0	0	0
State Street Global Markets, LLC(SSBS)	0.36	0.30	11.67	0.00	0.00	0	0	0	0	0	0	0	0
New York Stock Exchange(NYSE)	0.31	0.00	0.00	22.14	0.00	0	0	0	0	0	0	0	0

Material Aspects:

January 2020

Option Contracts

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100	83.97	2.63	12.92	0.48

Venues

Venue - Non-directed Order Flow	Non-Directed Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)	31.79	32.45	13.33	33.33	0	0	0	0	0	0	0	0
Susquehanna Capital Group(SUSQ)	27.81	31.91	6.67	6.67	0	0	0	0	0	0	0	0
MORGAN STANLEY & CO. LLC(MSCO)	24.28	26.60	26.67	10.00	0	0	0	0	0	0	0	0
Citigroup Global Markets, Inc.(CITI)	8.17	6.38	33.33	11.67	0	0	0	0	0	0	0	0
Wolverine Execution Services LLC(WEXX)	4.19	1.33	13.33	20.00	0	0	0	0	0	0	0	0
DASH FINANCIAL TECHNOLOGIES LLC(DASH)	1.55	1.33	6.67	1.67	0	0	0	0	0	0	0	0
Cboe Options Exchange(CBOE)	1.32	0.00	0.00	10.00	0	0	0	0	0	0	0	0
Interactive Brokers LLC(IBKR)	0.88	0.00	0.00	6.67	0	0	0	0	0	0	0	0

Material Aspects:

Synovus Securities, Inc. - Held NMS Stocks and Options Order Routing Public Report

Generated on Thu Apr 30 2020 01:17:42 GMT-4 EDT

1st quarter, 2020

February 2020

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100	97.93	0.30	1.47	0.30

Venues

Venue - Non-directed Order Flow	Non-Directed Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)	46.07	46.77	33.33	13.70	47.37	0	0	0	0	0	0	0
VIRTU Americas LLC(NITE)	23.47	23.68	18.18	10.96	47.37	0	0	0	0	0	0	0
National Financial Services LLC(XSTM)	17.50	17.76	45.45	0.00	0.00	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	7.48	7.66	3.03	0.68	0.00	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	1.76	1.81	0.00	0.00	0.00	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	1.08	1.10	0.00	0.00	5.26	0	0	0	0	0	0	0
JANE STREET CAPITAL, LLC(JNST)	0.95	0.96	0.00	0.68	0.00	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC(NSDQ)	0.66	0.00	0.00	34.25	0.00	0	0	0	0	0	0	0
New York Stock Exchange(NYSE)	0.57	0.00	0.00	29.45	0.00	0	0	0	0	0	0	0
State Street Global Markets, LLC(SSBS)	0.25	0.26	0.00	0.00	0.00	0	0	0	0	0	0	0

Material Aspects:

February 2020

Non S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100	96.40	0.67	2.73	0.20

Venues

Venue - Non-directed Order Flow	Non-Directed Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)	38.77	39.83	23.58	7.19	0	0	0	0	0	0	0	0
National Financial Services LLC(XSTM)	27.87	28.58	40.57	0.00	0	0	0	0	0	0	0	0
VIRTU Americas LLC(NITE)	21.47	22.01	11.32	5.76	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	6.20	6.39	4.72	0.72	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	1.49	1.53	0.00	0.72	0	0	0	0	0	0	0	0
Cboe EDGX U.S. Equities Exchange(EDGX)	0.97	0.00	0.00	34.89	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	0.80	0.82	1.89	0.00	0	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC(NSDQ)	0.64	0.00	0.00	23.02	0	0	0	0	0	0	0	0
New York Stock Exchange(NYSE)	0.61	0.00	2.83	20.86	0	0	0	0	0	0	0	0
JANE STREET CAPITAL, LLC(JNST)	0.54	0.56	0.00	0.00	0	0	0	0	0	0	0	0

Material Aspects:

February 2020

Option Contracts

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100	78.33	2.78	18.89	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)	32.41	31.91	30.00	34.78	0	0	0	0	0	0	0	0
MORGAN STANLEY & CO. LLC(MSCO)	23.82	26.24	20.00	14.49	0	0	0	0	0	0	0	0
Susquehanna Capital Group(SUSQ)	20.78	24.82	30.00	2.90	0	0	0	0	0	0	0	0
Citigroup Global Markets, Inc.(CITI)	10.80	9.93	20.00	13.04	0	0	0	0	0	0	0	0
Wolverine Execution Services LLC(WEXX)	5.82	2.84	0.00	18.84	0	0	0	0	0	0	0	0
DASH FINANCIAL TECHNOLOGIES LLC(DASH)	4.43	4.26	0.00	5.80	0	0	0	0	0	0	0	0
Interactive Brokers LLC(IBKR)	1.94	0.00	0.00	10.14	0	0	0	0	0	0	0	0

Material Aspects:

Synovus Securities, Inc. - Held NMS Stocks and Options Order Routing Public Report

Generated on Thu Apr 30 2020 01:17:42 GMT-4 EDT

1st quarter, 2020

March 2020

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	95.65	0.87	3.22	0.27

Venues

Venue - Non-directed Order Flow	Non-Directed Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)	44.75	46.04	38.66	15.86	44.44	0	0	0	0	0	0	0
National Financial Services LLC(XSTM)	20.52	21.33	31.09	0.00	0.00	0	0	0	0	0	0	0
VIRTU Americas LLC(NITE)	14.33	14.60	11.76	6.43	55.56	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	7.76	8.11	3.36	0.80	0.00	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	4.65	4.84	3.36	0.80	0.00	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	2.54	2.64	1.68	0.60	0.00	0	0	0	0	0	0	0
JANE STREET CAPITAL, LLC(JNST)	2.10	2.18	1.68	0.60	0.00	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC(NSDQ)	1.52	0.00	0.84	37.55	0.00	0	0	0	0	0	0	0
New York Stock Exchange(NYSE)	0.97	0.00	6.72	22.49	0.00	0	0	0	0	0	0	0
Cboe EDGX U.S. Equities Exchange(EDGX)	0.49	0.00	0.00	12.25	0.00	0	0	0	0	0	0	0

Material Aspects:

March 2020

Non S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	94.75	0.90	4.09	0.26

Venues

Venue - Non-directed Order Flow	Non-Directed Orders(%)	Market Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)	39.87	41.17	28.99	15.09	54.17	0	0	0	0	0	0	0	0
National Financial Services LLC(XSTM)	29.85	31.11	44.38	0.34	0.00	0	0	0	0	0	0	0	0
VIRTU Americas LLC(NITE)	11.78	12.13	7.69	4.63	37.50	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	6.87	7.19	4.73	0.86	4.17	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	3.66	3.83	2.96	0.34	0.00	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	1.91	1.98	2.37	0.17	4.17	0	0	0	0	0	0	0	0
JANE STREET CAPITAL, LLC(INST)	1.70	1.78	0.59	0.34	0.00	0	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC(NSDQ)	1.19	0.00	0.00	26.59	0.00	0	0	0	0	0	0	0	0
Cboe EDGX U.S. Equities Exchange(EDGX)	1.15	0.00	0.00	25.73	0.00	0	0	0	0	0	0	0	0
New York Stock Exchange(NYSE)	0.90	0.00	0.59	19.90	0.00	0	0	0	0	0	0	0	0

Material Aspects:

March 2020

Option Contracts

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
100.00	74.46	0.95	24.34	0.24

Venues

Venue - Non-directed Order Flow	Non-Directed Orders(%)	Marketable Limit Orders(%)	Non-Marketable Limit Orders(%)	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)	32.49	27.86	0.00	47.71	0	0	0	0	0	0	0	0
MORGAN STANLEY & CO. LLC(MSCO)	27.92	33.44	75.00	10.09	0	0	0	0	0	0	0	0
Susquehanna Capital Group(SUSQ)	19.22	24.77	0.00	3.67	0	0	0	0	0	0	0	0
Citigroup Global Markets, Inc.(CITI)	7.78	7.43	0.00	9.17	0	0	0	0	0	0	0	0
Wolverine Execution Services LLC(WEXX)	6.64	2.48	25.00	18.35	0	0	0	0	0	0	0	0
DASH FINANCIAL TECHNOLOGIES LLC(DASH)	4.35	4.02	0.00	5.50	0	0	0	0	0	0	0	0
Interactive Brokers LLC(IBKR)	1.60	0.00	0.00	5.50	100.00	0	0	0	0	0	0	0

Material Aspects: